

Financial Services

We offer a full range of transactional, regulatory and investigative services to the financial industry in Switzerland and abroad.

Our expertise

For more than 100 years, Financial Services have been one of our core practice areas. The Financial Services team is recognized in Switzerland as a leader in its field by all relevant international legal directories. Swiss and foreign financial institutions, from banks, securities dealers and insurance companies to asset managers, investment firms and other financial service providers, government institutions, self-regulatory organizations and investors consult us for advice on national and international transactions (including for structuring purposes), regulatory and compliance matters, as well as investigations and proceedings.

We provide creative and efficient solutions for complex assignments and work closely with our teams in Corporate, Tax and Dispute Resolutions.

[Visit our Swiss Financial Market Regulation site to benefit from the latest guidance, tailored to your specific financial sector. →](#)

Our services

We offer advisory services in five discrete areas:

Regulatory/compliance regime and investigations/litigation:

advising on all financial services and anti-money laundering regulations, including business operation-related matters, particularly where these assist our clients to develop and implement compliance systems and communicate effectively with regulatory authorities;

navigating through regulatory audits, considering the impact of proposed legislative changes and representing clients vis-à-vis regulatory authorities to obtain the necessary authorizations and licenses, and in all other types of investigative, litigious or other administrative proceedings.

Commercial banking and financing:

advising on all aspects of the lending business, including the structuring, drafting and negotiation of a full range of loan transactions (acquisitions, asset-based, leveraged and syndicated financing, etc.), as well as debt instruments and alternative financing. Pestalozzi is an associate member of the Loan Market Association.

Capital markets and investment banking:

structuring the issuance, listing and distribution of debt and equity instruments, as well as structured financial products. We are accredited as experts for securities listings with the SIX Swiss Exchange.

Asset management and investment funds:

providing advice on the formation, distribution and management of investment funds, exchange-traded funds (ETFs), private pooled funds and alternative funds, as well as related fund reorganization;

advising on registration issues associated with the investment fund and asset and wealth management industry; advising asset and wealth managers and corporate finance departments on ISDA Master Agreements and other similar agreements, including advice on regulatory matters (EMIR/FMIA). Pestalozzi is a member of the Swiss Funds & Asset Management Association and an associate member of ISDA.

Mergers and acquisitions:

Advice and representation for transactions in the sector, including for the purchase and sale of companies and assets in the financial services industry.

Insolvency and restructuring:

advising financial institutions and debtors in distressed situations and possible restructurings; representing financial institutions and their creditors in insolvency proceedings.

Team

Ludivine Boisard

Partner, Geneva
Attorney at law

T +41 22 999 96 44
ludivine.boisard@pestalozzilaw.com



Christophe Emonet

Partner, Geneva
Attorney at law
Head Litigation & Arbitration

T +41 22 999 96 10
christophe.emonet@pestalozzilaw.com



Nils Harbeke

Partner, Zurich
Swiss Certified Tax Expert, Attorney at law
Head of Tax Practice Group

T +41 44 217 92 24
nils.harbeke@pestalozzilaw.com



Andrea Huber

Partner, Zurich
Attorney at law, LL.M.

T +41 44 217 92 41
andrea.huber@pestalozzilaw.com



Urs Kloeti

Partner, Zurich
Attorney at law

T +41 44 217 92 92
urs.kloeti@pestalozzilaw.com



Pascal Richard

Partner, Zurich
Attorney at law, LL.M., Solicitor of England &
Wales (n.p.)

T +41 44 217 92 38
pascal.richard@pestalozzilaw.com



Severin Roelli

Partner, Zurich
Attorney at law, LL.M.

T +41 44 217 92 68
severin.roelli@pestalozzilaw.com



Sébastien Roy

Partner, Geneva
Attorney at law

T +41 22 999 96 40
sebastien.roy@pestalozzilaw.com



Franz Schubiger

Partner, Zurich
Attorney at law, LL.M.
Co-Head Life Sciences

T +41 44 217 92 49
franz.schubiger@pestalozzilaw.com



Beat Schwarz

Partner, Zurich
Attorney at law

T +41 44 217 92 44
beat.schwarz@pestalozzilaw.com



Oliver Widmer

Partner, Zurich
Attorney at law
Head Financial Services

T +41 44 217 92 42
oliver.widmer@pestalozzilaw.com



Robert Furter

Senior Counsel, Zurich
Attorney at law

T +41 44 217 91 55
robert.furter@pestalozzilaw.com



Kern Alexander

Of Counsel, Zurich
Attorney at law, Prof. Dr.

T +41 44 217 92 54
kern.alexander@pestalozzilaw.com



Christophe Berclaz

Counsel, Geneva
Attorney at law, MSc

T +41 22 999 96 00
christophe.berclaz@pestalozzilaw.com



Markus Winkler

Counsel, Zurich
Attorney at law, Dr. iur.

T +41 44 217 92 59
markus.winkler@pestalozzilaw.com



Jean-Baptiste Lhôte

Senior Associate, Geneva
Attorney at law

T +41 22 999 96 43
jean-baptiste.lhote@pestalozzilaw.com



Niku Gholamalizadeh

Associate, Zurich
Attorney at Law

T +41 44 217 92 80
niku.gholamalizadeh@pestalozzilaw.com



Frédéric Moioli

Associate, Geneva
Attorney at Law

T +41 22 999 96 34
frederic.moioli@pestalozzilaw.com



Xenia Pisarewski

Associate, Zurich
Attorney at Law

T +41 44 217 91 86
xenia.pisarewski@pestalozzilaw.com



Yannic Schönenberger

Associate, Zurich
Attorney at Law, MLaw

T +41 44 217 92 96
yannic.schoenenberger@pestalozzilaw.com



Samir Ainouz

Junior Associate, Zurich
Dr. iur.

T +41 44 217 91 82
samir.ainouz@pestalozzilaw.com

